



FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE CITATION**

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Sheet 1 of 3

ATTORNEY DOCKET NO.

SERIAL NO.

0275M-000260/DVB

07/000,688  
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APPLICANT

D. Mauer et al.

DEC 28 2003

FILING DATE

May 22, 2001

TECHNOLOGY CENTER H3750

3726

**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.	SD	1,483,919	2/19/24	Walker		
2.	SD	6,014,802	1/18/00	Guerin		

**FOREIGN PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.	SD	WO 99/15288	4/1/99	PCT			
2.		WO 00/29145	5/25/00	PCT			
3.		WO 00/47350	8/17/00	PCT			
4.		WO 02/16785	2/28/02	PCT			
5.		WO 98/09767	3/12/98	PCT			
6.		EP 0768128 A1	4/16/97	Europe			
7.		1487098	9/28/77	Great Britain			
8.		WO 94/14554	7/7/94	PCT			
9.		WO 95/08860	3/30/95	PCT			
10.		EP 0893179 B1	10/18/00	Europe			
11.	SD	0685662 A2	12/6/95	Europe			

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)**

Ref. Desig.	Examiner's Initials	
SD		"Riveting Equipment Electric Servo Systems", European Product Catalogue Issue 01, June 2001, pages 1-20.

Examiner:

Date Considered:

4-12-04

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Sheet 2 of 3

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0275M-000260/DVB

09/862,688

APPLICANT

D. Mauer et al.

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**OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)**

Ref. Desig.	Examiner's Initials	
2.	JA	"Rivet Feed Mechanisms - Standard," European Product Catalogue Issue 01, June 2001, pages 1-4.
3.	✓	"Riveting Equipment Hydraulic Pre-Clamping Systems," European Product Catalogue Issue 01, June 2001, pages 1-6.
4.	✓	"Riveting Equipment Overview: Overview of Riveting Systems," European Product Catalogue Issue 01, June 2001, pages 1 and 2.
5.	✓	"Riveting Equipment Hydraulic Double Acting Systems," European Product Catalogue Issue 01, June 2001, pages 1-13
6.	✓	"Rivet Part Numbering System," European Product Catalogue Issue 01, June 2001, pages 1-15.
7.	✓	IBEC Engineering Conference - "Proceedings: Body Assembly & Manufacturing," September 26-29, 1994, Detroit, MI, pages 1-9.
8.	✓	Stephen P. Sunday, International Congress & Exposition, SAE Technical Paper Series, "Self-Piercing Rivets for Aluminum Components", February 28-March 4, 1983, 14 pages.
9.	✓	Automotive Design Engineering 1994, Vehicle & Component Manufacturing, "Self piercing riveting - a solution for body and chassis combinations," pages 175-178.
10.	✓	Roger Doo, "Automotive body construction using self-piercing riveting," 1993, 4 pages.
11.	✓	International Search Report for International Application No. PCT/GB93/02608, April 21, 1994, 2 pages.
12.	✓	Lothar Budde and Wilhelm Lappe, "Stanznieten ist zukunftstrachtig in der Blechverarbeitung," 1991, pages 94-100.
13.	✓	Lothar Budde and Wilhelm Lappe, "Self-piercing riveting is pointing the way forward in metal processing," May 1999, 12 pages.
14.	✓	International Search Report for International Application No. PCT/US94/10232, January 2, 1995, 2 pages.
15.	EW	How Things Work 1, Vol. 1, 1974, 3 pages.

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*JDW/JS*

Date Considered:

4-12-04

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Sheet 3 of 3

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**OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)**

Ref. Desig.	Examiner's Initials	
16.	SD \	Correspondence from National Rivet & Mfg. Co. to Henrob Corporation, July 16, 2001, 3 pages.
17.	SD \	Correspondence from Chicago Rivet to Henrob Corporation, July 16, 2001, 3 pages.
18.	SD \	Affidavit of John J. Vrana, July 17, 2001, 2 pages.
19.	SD \	International Search Report for International Application No. PCT/US97/15929, October 17, 1997, 3 pages.
20.	SD \	Kenneth Edwards, "Pierce-&-Roll riveting - the alternative to spot welding," Aluminum Industry, The Applications Magazine, October/November 1992, 5 pages.

Examiner:

*SDM/ba*

Date Considered:

4-12-04

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